

CONTACT INFORMATION (AS REQUIRED BY INDUSTRY STANDARDS):**WINSLOW**

ASSET MANAGEMENT, INC.

NAME: _____

ADDRESS: _____

25201 CHAGRIN BOULEVARD, #185
BEACHWOOD, OH 44122
216.360.4700 * 216.360.4710 FAX

PHONE #: _____

FAX #: _____

CELL#: _____

EMAIL ADDRESS: _____

US CITIZEN? YES NO

SSN: _____

DOB: _____

DRIVER'S LICENSE # & STATE OF ISSUE: _____

EMPLOYER NAME
& ADDRESS: _____**ACCOUNT INFORMATION:**TYPE OF ACCOUNT(S) (CIRCLE ALL THAT APPLY) : INDIVIDUAL JOINT IRA TRUST* CORPORATE OTHER: _____

JOINT ACCOUNT HOLDER/TRUSTEE/BUSINESS NAME & ADDRESS: _____

JOINT HOLDER SSN& DOB/TRUST OR BUSINESS TAX ID: _____

NAME OF TRUST: _____

DATE OF TRUST: _____

IRA BENEFICIARY NAME: _____

RELATIONSHIP TO YOU: _____

BENEFICIARY ADDRESS: _____

BENEFICIARY SSN & DOB: _____

ARE YOU OR ANY ACCOUNT
HOLDER: _____ AFFILIATED WITH OR EMPLOYED BY A STOCK
EXCHANGE OR BROKER/DEALER A CONTROL PERSON OR AFFILIATE OF A
PUBLICLY TRADED COMPANY

HOW WILL YOU FUND YOUR NEW WINSLOW ACCOUNT?

-
- DEPOSIT CHECK OR FUNDS WIRE
-
-
- TRANSFER EXISTING ACCOUNT**
-
-
- ROLLOVER OF EXISTING RETIREMENT PLAN**

**PLEASE PROVIDE COPY OF MOST RECENT STATEMENT

IF AVAILABLE, DO YOU WANT ONLINE ACCESS TO YOUR ACCOUNT
BALANCES? YES NO***IF YOU ARE OPENING A TRUST ACCOUNT, PLEASE PROVIDE A COPY
OF THE TITLE PAGE AND ALL SIGNATURE PAGES FROM THE TRUST
AGREEMENT.****OPTIONAL SERVICES:**WOULD YOU LIKE CHECKWRITING PRIVILEGES ON YOUR NON-IRA ACCOUNTS? YES NODO YOU WISH TO RECEIVE PROXY MATERIALS AND ANNUAL REPORTS? YES NODO YOU GIVE AUTHORIZATION TO DEDUCT YOUR FEES FROM YOUR ACCOUNT: YES NODO YOU WANT COPIES OF STATEMENTS SENT TO AN ACCOUNT-
ANT OR FAMILY MEMBER? YES NO

IF YES, NAME AND ADDRESS: _____

OBJECTIVES & HISTORY:ACCOUNT STRATEGY EQUITY FIXED BALANCED I AM DEPENDENT UPON THIS ACCOUNT TO MEET CURRENT INCOME NEEDSACCOUNT OBJECTIVE: ACCUMULATION PHASE CAPITAL PRESERVATION SPENDING PHASETIMELINE FOR REACHING GOAL: LESS THAN THREE YEARS THREE TO FIVE YEARS OVER FIVE YEARSRISK TOLERANCE
ARE YOU:

-
- CANNOT ACCEPT ANY LOSS OF PRINCIPAL
-
-
- CAN ACCEPT SHORT TERM LOSS OF PRINCIPAL
-
-
- MORE INTERESTED IN TOTAL RETURN OF 3-5 YEAR PERIOD
-
-
- PRIMARILY INTERESTED IN ACHIEVING LONG TERM INVESTMENT GOALS

APPX. HOUSEHOLD INCOME: LESS THAN \$50,000 \$50,000 TO \$100,000 \$100,000-\$250,000 OVER \$250,000TAX BRACKET: 0% TO 15% 15% TO 30% OVER 30% ARE YOU RETIRED? YES NOHAVE YOU EVER INVESTED IN
THE FOLLOWING?

-
- DOMESTIC STOCKS OR STOCK FUNDS
-
- INTERNATIONAL STOCKS OR STOCK FUNDS
-
-
- BONDS OR BOND FUNDS
-
- OPTIONS, COMMODITIES FUTURES, ETC.
-
-
- PRECIOUS METALS OR COLLECTIBLES
-
- REAL ESTATE OR OIL/GAS LIMITED PARTNERSHIPS
-
-
- BORROWED MONEY OR USED MARGIN TO TAKE ADVANTAGE OF AN INVESTMENT OPPORTUNITY

OTHER INVESTMENTS YOU HAVE (%OF TOTAL): EQUITY % _____ FIXED INCOME % _____ ALTERNATIVE % _____TO WHAT EXTENT DO YOU FOLLOW THE MARKET? NOT AT ALL SOMEWHAT VERY CLOSELY**I VERIFY THIS INFORMATION TO BE TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE, AND UNDERSTAND IT WILL BE
RELIED UPON BY WINSLOW ASSET MANAGEMENT, INC. IN MANAGING MY ACCOUNT(S).**

SIGNATURE: _____ DATE: _____

FOR WINSLOW USE ONLY: COMPOSITE _____ COMPOSITE DATE _____ SWITCH _____ SWITCH DATE _____
DATE OPENED _____ DATE FUNDED _____ INITIAL AMOUNT _____ DATE CLOSED _____